

The University of New Mexico
Board of Regents' Audit and Compliance Committee
October 15, 2018 – 9:00 AM
Roberts Room
Agenda

ACTION ITEMS

1. Confirmation of a Quorum and Adoption of Agenda
2. Approval of Meeting Minutes from August 23, 2018
3. Audit and Compliance Committee Meeting dates for Fiscal Year 2019. The Committee meets in the Roberts Room.
February 21, 2019
May 23, 2019 (Entrance Conference for FY19 Financial Statements Audit)

EXECUTIVE SESSION

4. Vote to close the meeting and to proceed in Executive Session as follows:
 - a. Presentation of FY18 External Financial Audit pursuant to exceptions at Section 10-15-1H NMSA (1978) and Section 12-6-5 NMSA (1978) (*Moss Adams, KPMG and Liz Metzger, University Controller*)
 - b. Discussion of draft Internal Audit Reports, and discussions of information subject to attorney-client privilege pursuant RPM 1.2
 - c. Discussion of limited personnel matters (Director of Internal Audit Department) pursuant to exception at Section 10-15-1.H(2) NMSA (1978);
 - d. Schedule of Audits in Process and Proposed FY19 Audit Work plan, pursuant to RPM 1.2
 - e. Vote to re-open the meeting.
5. Certification that only those matters described in Agenda item # 4 were discussed in Executive Session and if necessary ratification of action, if any, taken in Executive Session.

INFORMATION ITEMS

6. Advisors' Comments
7. Main Campus Chief Compliance Officer Status Report (*Francie Cordova, Interim Chief Compliance Officer; and Rob Burford, Director of Compliance*)
8. Health Sciences Center Chief Compliance Officer Status Report (*Arthur Culpepper, HSC Chief Compliance Officer*)
9. Status of Audit Recommendations (*Chien-chih Yeh, Internal Audit Manager*)
10. Director of Internal Audit Status Report (*Manu Patel, Internal Audit Director*)
11. Adjournment